

**NATIONAL PHASE
UTILITY APPLICATION**
Transmittal to the (DO/EO/US)
Under 35 USC 371

Attorney Docket No.: CHANZ1.001APC
First Named Inventor: Tang, Y.H. et al.
Int'l Application No.: PCT/CN05/000630
Int'l Filing Date: May 8, 2005
Priority Date Claimed: May 11, 2004
Title: METHOD FOR PREPARING SELF-ASSEMBLED
SILICON NANOTUBES BY HYDROTHERMAL
METHOD
Express Mail Label No.: EV 766 657 321 US

10/578450

Direct all correspondence to Customer No.: 20995

Date: May 5, 2006
Page 1 of 3

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United States Patent and Trademark Office
P.O. Box 1450
Alexandria VA 22313-1450

The following enclosures are transmitted herewith to be filed in the patent application of:

Inventors:

- | | |
|-------------------|---------------|
| 1. Yuanhong Tang. | 2. Lizhai Pei |
| 3. Yangwen Chen | 4. Chi Guo |

APPLICATION ELEMENTS:

- (X) This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.
- (X) This is an Express Request to begin national examination procedures (35 U.S.C. § 371(f)).
- (X) A copy of the International Application as filed (35 U.S.C. § 371(c)(2)) has been communicated by the International Bureau.
- (X) An English translation of the International Application as filed is attached hereto.
- (X) Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)) have not been and will not be made.
- (X) An English translation of claim amendments under PCT Article 19 (35 U.S.C. § 371(c)(3)).
- (X) Declaration and Power of Attorney for Patent Application in 3 pages.

OTHER APPLICATION PARTS:

- (X) Preliminary Amendment in 6 pages with Substitute Specification (Clean version in 9 pages and marked up version in 14 pages).
- (X) Return prepaid postcard.

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Page 2 of 3

FILING FEES:

The present application qualifies for Small Entity Status under 37 CFR 1.27.

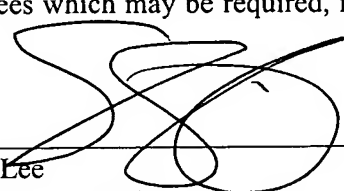
FEE CALCULATION					
FEE TYPE & DETERMINATION		SMALL FEE	CALCULATION		TOTAL
Basic Filing Fee under 1.492(a)		2631 (\$150)			\$150
Search Fee under 1.492(b) (enter one in TOTAL column)					\$200
(1) USPTO was ISA/IPEA & claims satisfy Art. 33 (1)-(4)		2640 (\$0)			
(2) USPTO was the ISA (noncompliant claims)		2641 (\$50)			
(3) Foreign Search Report Enclosed		2642 (\$200)			
(4) No Search Report Enclosed		2632 (\$250)			
Examination Fee under 1.492(c) (enter one in TOTAL column)					\$100
(1) USPTO was ISA/IPEA & claims satisfy Art. 33 (1)-(4)		2643 (\$0)			
(2) Non US IPEA or Noncompliant Claims		2633 (\$100)			
Excess Claims	13 - 20 = 0	2615 (\$25)	0	x 25 =	\$0
Excess Ind. Claims	2 - 3 = 0	2614 (\$100)	0	x 100 =	\$0
Multiple Dep. Claim		2616 (\$180)			\$0
Application Size Fee	12 - 100 = 0	2681 (\$125)	0	x 125 =	\$0
Late English Translation		1618 (\$)			
Assignment		8021 (\$40)	*	x 40 =	\$0
			TOTAL FEE DUE		\$450
TOTAL FEE PAID (If Different Than Fee Due)					\$450

(X) A check in the amount of \$450 to cover the Total Fee Due is enclosed.

10/578450

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<p>Direct all correspondence to Customer No.: 20995Date: May 5, 2006 Page 3 of 3</p>	

The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment to Account No. 11-1410.



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AP20 Rec'd FCT/PTO 05 MAY 2006

Sabing H. Lee

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Alexandria, VA 22313-1450

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Attorney Docket No. : CHANZ1.001APC

Applicant(s) : Tang, Y.H. et al.

For : PREPARATION OF SELF-ASSEMBLED
SILICON NANOTUBES BY
HYDROTHERMAL METHOD

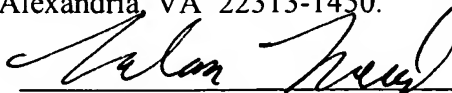
Attorney : Sabing H. Lee

"Express Mail" Label No. : EV 766 657 321 US

Date of Deposit : May 5, 2006

I hereby certify that the accompanying Transmittal letter; specification in 9 pages; 3 sheets of drawings; an English translation of the International Application as filed is attached hereto; Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)) have not been and will not be made.; an English translation of claim amendments under PCT Article 19 (35 U.S.C. § 371(c)(3)); Preliminary Amendment in 6 pages with Substitute Specification (clean version in 9 pages and marked up version in 14 pages); Declaration and Power of Attorney for Patent Application in 3 pages; Check for Filing Fee; Return Prepaid Postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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